

Notification & Declaration of Fund Deposit 入款通知及声明书

TO: **CMB International Securities Limited** **CMB International Futures Limited**
致: 招銀国际証券有限公司 招銀国际期貨有限公司

CMB International Global Markets Limited
招銀国际環球市場有限公司

本人/本公司，以下簽署者，謹此授權以下第三方存款人存款至 貴公司的賬戶 I / We, the under-signed, hereby authorize the originator as stated below to deposit into the account of your company:

帳戶號碼 Account No.	_____	帳戶名稱 Account Name	_____
第三方存款人 Originator	_____	存款日期 Deposit Date	_____
存款貨幣* Deposit Currency*	_____	存款金額* Deposit Amount*	_____
存款至招銀国际客戶賬戶 Deposit to CMB INTERNATIONAL	銀行名稱 Bank Name	銀行賬號 Bank A/C No.	_____
SECURITIES LTD - CLIENT ACCOUNT	_____	_____	_____
與存款人關係 Relationship with Originator	_____		
第三者存款原因 Reasons of third party Deposit	_____		

本人/本公司，以下簽署者，謹此聲明上述賬戶的款項來自或匯款目的並非為恐怖分子集資活動、涉嫌從販毒所得、及/或組織及嚴重罪行的得益。I/we, the under-signed, hereby declare and undertake: funds are not sourced from or the remittance is not made for terrorist financing activities; proceeds of drug trafficking; and/or proceeds of organized and serious crimes.

本人/本公司聲明及同意承擔任何可能因此存款，特別是經第三者轉賬/存款，而引致之爭議、損失、責任及有關風險。在不局限前文的概括性原則下，本人/本公司了解並進一步同意：(1) 第三方存款需經貴公司審批，貴公司可拒絕執行有關指示而毋須給予任何理由；及 (2) 審批需時或致入賬延誤。本人/本公司同意貴公司因上述任何情況而引起對本人/本公司及存款人之任何損失（如有）概不負責。I/We hereby declare and undertake to bear possible arguments, losses, responsibilities and pertinent risks associated as a result of this deposit instruction, especially transfer/deposit from third party and declaration. Without limiting the generality of the foregoing, I/We acknowledge and further agree that: (1) the third party deposit shall be subject to approval by your company and your company shall be entitled to refuse the execution of the instruction without any reason; and (2) the third party deposit may be delayed due to such approval process. I/We agree that your company shall not be responsible for any loss, if any, caused to me/us and the originator arisen from any of the aforementioned circumstances.

日期 Date

客戶簽署 Client's Signature

For Official Use Only				
AE/CRM	RO Approval	MLRO	OMO/KBL MIC Approval	OCR MIC Approval
Back Office (Operations and Settlement)				
Audio Date and Time:	Audio Line:	Client and Number called:	Delegates of Head of Operations	
S.V. By	Input By	Checked By	Approved By	



招商銀行全資附屬機構
A Wholly Owned Subsidiary Of China Merchants Bank

招銀國際證券有限公司
招銀國際期貨有限公司
招銀國際環球市場有限公司
香港中環花園道三號
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* 如存款人属于第三者，必须填写此表格及提交此表格的正本；否则，入款指示不被接受。If the originator is a third party, this form must be completed and deliver the original copy to us; Otherwise, deposit is not considered.

*如存款金额等于或超过等值港币 2,000,000 元，将由招银国际职员致电核实有关入款方可存入。If the deposit amount is equivalent to HKD 2 million or above, CMBI staff will contact you for confirmation.

重要提示 IMPORTANT NOTE:

1. 账户持有人必须提供其与第三方关系的证明文件 Account Holder is required to provide supporting document to prove his/her relationship with the third party.

可接受证明文件例子 Examples of acceptable supporting documents:

- 出生证明书的认证副本 Certified true copy of Birth Certificate
- 结婚证书的认证副本 Certified true copy of Marriage Certificate
- 第三方受益人身份证的认证副本 Certified true copy of ID of the third party Beneficiary
- 注册代理人在过去六个月内签发的职权证明书的认证副本 Certified true copy of Certificate of Incumbency from its registered agent dated within the last 6 months
- 注册代理人在注册地的证书和查册报告 Certificate and Search Report from the registered agent in the place of incorporation
- 最新年度回报 Latest Annual Return

2. 我司可能要求账户持有人提供证明文件以确认资金来源。Our company may request the Account Holder to provide supporting document to confirm the source of funds.