

## Notification & Declaration of Fund Deposit 入款通知及聲明書

TO:  CMB International Securities Limited 招銀國際證券有限公司  CMB International Futures Limited 招銀國際期貨有限公司  
致:  CMB International Global Markets Limited 招銀國際環球市場有限公司

本人/本公司，以下簽署者，謹此授權以下第三方存款人存款至 貴公司的帳戶 | / We, the under-signed, hereby authorize the originator as stated below to deposit into the account of your company:

帳戶號碼 Account No.	_____	帳戶名稱 Account Name	_____
第三方存款人 Originator	_____	存款日期 Deposit Date	_____
存款貨幣* Deposit Currency*	_____	存款金額* Deposit Amount*	_____
存款至招銀國際客戶帳戶 Deposit to CMB INTERNATIONAL SECURITIES LTD - CLIENT ACCOUNT 與存款人關係 Relationship with Originator	銀行名稱 Bank Name	銀行帳號 Bank A/C No.	_____
第三者存款原因 Reasons of third party Deposit	_____		

本人/本公司，以下簽署者，謹此聲明上述帳戶的款項來自或匯款目的並非為恐怖分子集資活動、涉嫌從販毒所得、及/或組織及嚴重罪行的得益。I/we, the under-signed, hereby declare and undertake: funds are not sourced from or the remittance is not made for terrorist financing activities; proceeds of drug trafficking; and/or proceeds of organized and serious crimes.

本人/本公司聲明及同意承擔任何可能因此存款，特別是經第三者轉帳/存款，而引致之爭議、損失、責任及有關風險。在不局限前文的概括性原則下，本人/本公司瞭解並進一步同意：(1) 第三方存款需經貴公司審批，貴公司可拒絕執行有關指示而毋須給予任何理由；及 (2) 審批需時或致入帳延誤。本人/本公司同意貴公司因上述任何情況而引起對本人/本公司及存款人之任何損失 (如有) 概不負責。I/We hereby declare and undertake to bear possible arguments, losses, responsibilities and pertinent risks associated as a result of this deposit instruction, especially transfer/deposit from third party and declaration. Without limiting the generality of the foregoing, I/We acknowledge and further agree that: (1) the third party deposit shall be subject to approval by your company and your company shall be entitled to refuse the execution of the instruction without any reason; and (2) the third party deposit may be delayed due to such approval process. I/We agree that your company shall not be responsible for any loss, if any, caused to me/us and the originator arisen from any of the aforementioned circumstances.

客戶簽署 Client's Signature \_\_\_\_\_ 日期 Date \_\_\_\_\_

<b>For Official Use Only</b>				
AE/CRM	RO Approval	MLRO	OMO/KBL MIC Approval	OCR MIC Approval
<b>Back Office (Operations and Settlement)</b>				
Audio Date and Time:	Audio Line:	Client and Number called:	Delegates of Head of Operations	
S.V. By	Input By	Checked By	Approved By	

\* 如存款人屬於第三者，必須填寫此表格及提交此表格的正本；否則，入款指示不被接受。If the originator is a third party, this form must be completed and deliver the original copy to us; Otherwise, deposit is not considered.

\* 如存款金額等於或超過等值港幣 2,000,000 元，將由招銀國際職員致電核實有關入款方可存入。If the deposit amount is equivalent to HKD 2 million or above, CMBI staff will contact you for confirmation.

#### 重要提示 IMPORTANT NOTE:

1. 帳戶持有人必須提供其與第三方關係的證明文件 Account Holder is required to provide supporting document to prove his/her relationship with the third party.

可接受證明文件例子 Examples of acceptable supporting documents:

- 出生證明書的認證副本 Certified true copy of Birth Certificate
- 結婚證書的認證副本 Certified true copy of Marriage Certificate
- 第三方受益人身份證的認證副本 Certified true copy of ID of the third party Beneficiary
- 註冊代理人在過去六個月內簽發的職權證明書的認證副本 Certified true copy of Certificate of Incumbency from its registered agent dated within the last 6 months
- 註冊代理人在註冊地的證書和查冊報告 Certificate and Search Report from the registered agent in the place of incorporation
- 最新年度回報 Latest Annual Return

2. 我司可能要求帳戶持有人提供證明文件以確認資金來源。Our company may request the Account Holder to provide supporting document to confirm the source of funds.