

Client Identification Data on the Hong Kong Investor Identification Regime (HKIDR) and the Over-the-counter Securities Transaction Reporting Regime (OTCR)

香港投資者識別碼制度及場外證券交易匯報制度客戶識別信息

Client Identification Data 客戶識別信息			
According to the Hong Kong Investor Identification Regime and the OTC Securities Transaction Reporting Regime, you are required to provide the relevant categories of identification documents in the following order of priority: (1) HKID; (2) National ID; (3) Passport. 根據香港投資者識別碼制度及場外證券交易匯報制度，閣下需按以下優先次序提供有關類別的身分證明文件：(1)香港身份證；(2)國民身分證明文件；(3)護照。 Please confirm if you are holder of the identity document below. 請閣下確認是否持有以下客戶識別信息。			
Hong Kong Identity Card (HKID) 香港身份證	<input type="checkbox"/> Yes 是	ID No. 身份證號碼	
		Place of Issue 簽發地	
<input type="checkbox"/> No 否			
PRCID Card 中華人民共和國 居民身份證	<input type="checkbox"/> Yes 是	ID No. 身份證號碼	
		Place of Issue 簽發地	
		Expiry Date (If any) 到期日 (如有) (dd/mm/yyyy)	
<input type="checkbox"/> No 否			
Other National Identity Card (Please specific) 其他國居民身份證 (請註明) _____	<input type="checkbox"/> Yes 是	ID No. 身份證號碼	
		Place of Issue 簽發地	
		Expiry Date (If any) 到期日 (如有) (dd/mm/yyyy)	
<input type="checkbox"/> No 否			
Passport 護照	<input type="checkbox"/> Yes 是	Passport No. 身份證號碼	
		Place of Issue 簽發地	
		Expiry Date (If any) 到期日 (如有) (dd/mm/yyyy)	
<input type="checkbox"/> No 否			

* Please enclose a copy of the identity document if you have not provided before. 如閣下早前未提供過相關客戶識別信息，請附上相關文件副本。

Acknowledgement and Confirmation 知悉及確認

By signing below, you acknowledge, undertake and agree with the following: 閣下在以下簽署，即代表閣下確認、承諾並同意以下事項：

- (a) You understand and have full knowledge of the waterfall of the acceptable client identity documents under the HKIDR and OTCR. 閣下理解並充分掌握在香港投資者識別碼制度及場外證券交易匯報制度可接受的身分證明文件排序表。

- (b) If (i) you have obtained identity document in priority to the identity document previously provided to us under the waterfall of the acceptable client identity documents pursuant to the HKIDR and the OTCR; or (ii) there is any update in the details on the identity document that was previously provided, you will inform us promptly and provide us with the relevant Identity document. 若(i) 閣下持有在香港投資者識別碼制度及場外證券交易彙報制度下比早前向我司提供的身分證明文件排序靠前的身分證明文件; 或(ii) 閣下的身分證明文件信息較早前向我司提供的資料有更新, 閣下會立即知會我司並提供相關身分證明文件。

Signed by 簽署 : _____

Name of client 客戶名稱 : _____

Account No. 帳戶號碼 : _____

Date 日期 : _____

(For joint account holders, each account holder should fill in and submit one Client Identification Data. 如帳戶為聯名帳戶, 每一位帳戶持有人需填寫並提交一份客戶識別信息。)